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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 067183 0149

In re Patent Application of Yuichiro TAKAMIZAWA, et al.

Serial No. 08/886,470

Filed: July 1, 1997

Sup Art Unit: Unknown

Examina Unknown

For: ADAPTIVE TRANSFORM CODING SYSTEM, ADAPTIVE

TRANSFORM DECODING SYSTEM AND ADAPTIVE TRANSFORM

CODING/DECODING SYSTEM

UNDER 37 CFR 1.56

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

Serial No. 08/886,470

CONCISE EXPLANATION OF RELEVANCE OF EACH DOCUMENT

The relevance of the document is described in the present specification.

TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date.

Applicants respectfully request that the listed document be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

September 30, 1997

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